

This document sets forth the privacy policy for any website maintained by or for Invensys Rail Corporation as it pertains to Invensys Rail Corporation. Such privacy policy follows:

### **INVENSYS RAIL CORPORATION's Privacy Policy**

Your privacy is important to INVENSYS RAIL CORPORATION, a Delaware corporation, herein referred to as "IRC." We are committed to protecting any personal information that you may provide us and feel that you have a right to know what type of information we gather and track, how the information is used, and with whom the information is shared. This statement includes both IRC's European Union – U.S. Safe Harbor Privacy Statement and the Website Privacy Statement.

In this policy references to "us" or "we" mean Invensys Rail Corporation and any other Invensys group company which is the operator of the website to which this privacy policy relates.

### **U.S. - EU Safe Harbor Privacy Statement**

For personal information of employees, consumers, investigators, customers, investors, and government officials that IRC receives from the European Economic Area, IRC has committed to handling such personal information in accordance with the U.S.-EU Safe Harbor Principles (the "Principles"). IRC's Safe Harbor certification can be found at <https://safeharbor.export.gov/list.aspx>. For more information about the U.S.-EU Safe Harbor Principles, please visit the U.S. Department of Commerce's Safe Harbor Website at [http://export.gov/safeharbor/eu/eg\\_main\\_018476.asp](http://export.gov/safeharbor/eu/eg_main_018476.asp).

### **Collection of Data**

IRC collects the contact information provided by website visitors who opt-in to receive email alerts or downloads from the website, who choose to provide information for surveys, and/or fill in registration forms. IRC also collects the e-mail addresses or the postal addresses website visitors provide when they contact us.

Aggregate information regarding what pages consumers access or visit is monitored and analyzed to increase usability, but IRC does not track the movements of individual website visitors.

The information we collect is used to improve the content of our web pages and to notify consumers about updates to our website.

Use of Cookies and Tracking User-Specific Information:

To ensure your privacy, IRC does not store a visitor's preferences, record session information, nor does IRC record user-specific information regarding the web pages users' access or visit.

### **Sharing of Your Information**

From time to time and with your consent, we may use customer e-mail addresses, customer postal addresses, and telephone numbers available to provide consumers with information about our products and services.

If at any time you change your mind and do not want your e-mail address, postal address, telephone number, and other personal information to be used by IRC, please let us know by contacting us at [kim.taylor@invensys.com](mailto:kim.taylor@invensys.com).

We may disclose your personal information if required to do so by law or in the good faith belief that such action is necessary to: (a) protect the legitimate interests of IRC or as otherwise permitted by law including relevant data and information legislation.

We may also provide your Information to a third party in connection with the sale, assignment, or other transfer of the business of this website to which the information relates, in which case we will require any such buyer to agree to treat your Information in accordance with the Safe Harbor principles.

If you contact us to ask us to remove your name from our e-mail address, postal address or telephone number lists, please provide us with your exact name, address and telephone number. This will help us make sure your name is removed from the appropriate list(s) and will also allow us to contact you in the event we need additional information from you in order to comply with your request.

### **Changes in Our Policy**

From time to time we may update this privacy policy statement. When we update this statement, we will also revise the "last updated" date at the bottom of this statement. As a condition of use of our site we require that you should check our privacy policy at least monthly, to ensure you are still happy to use our site on the basis of any updated policy.

At any time users may prevent their information from being used for a particular purpose by calling us at the number provided above, e-mailing us at [kim.taylor@invensys.com](mailto:kim.taylor@invensys.com) or writing us at the below address.

### **Access and Changes to User Information**

Upon written request, we will provide you with details of personal information we hold about you. Upon request, we offer visitors the ability to have inaccuracies about their personal (and other) details corrected. You can request that this information be corrected by e-mailing us at [kim.taylor@invensys.com](mailto:kim.taylor@invensys.com), calling us at the below telephone number, or writing to us at the below address.

### **Security**

We maintain suitable physical, electronic, and administrative safeguards to protect your personal information from unauthorized or inappropriate access. We restrict access to information about you to those IRC workers who need to know the information to respond to your inquiry or request. Workers who misuse personal information are subject to disciplinary action. You also have a significant role in protecting your information. Do not share your unique identifier or password, if any, with others.

### **Enforcement**

IRC uses a self-assessment approach to assure compliance with this privacy policy and periodically verifies that the policy is accurate, comprehensive for the information intended to be covered, prominently displayed, completely implemented and accessible and in conformity with the Principles. We encourage interested persons to raise any concerns using the contact information provided and we will investigate and attempt to resolve any complaints and disputes regarding use and disclosure of personal information in accordance with the Principles.

If a complaint or dispute cannot be resolved through our internal process, IRC has agreed to participate in the dispute resolution procedures of the panel established by the European data protection authorities to resolve disputes pursuant to the Safe Harbor Principles.

**Accountability**

If you feel that we are not living up to our stated privacy policy, please contact:

Invensys Rail Corporation  
Kim Taylor  
2400 Nelson Miller Parkway  
Louisville, KY 40223  
502-618-8869